



Codeml

--mounted on **PAML**(Phylogenetic Analysis by Maximum Likelihood)

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- **Model interpretation**
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Conception -> Synonymous and Nonsynonymous substitution

- **Synonymous substitution**

- Nucleotide mutation that not alter amino acids(AA) sequence.

- **Nonsynonymous substitution**

- Nucleotide mutation that alter amino acids sequence.

CCT---->Pro
↓
CCG---->Pro

CCT---->Pro
↓
CAT---->His

Omega ω

- $\omega = dN/dS$
- **Definition** : The ratio between Nonsynonymous substitution change rate and Synonymous substitution change rate. Measures selective pressure at the protein level.
- **Indicative meaning** :
 - $\omega > 1$ -> positive selection
 - $\omega = 1$ -> neutral selection
 - $\omega < 1$ -> negative selection
- **Example** :
 - MHC ω have a higher value, structural protein gene have a smaller value

Input files -> Nucleotide file

- Sequence file (suffix : .nuc .txt)

Num of samples, length of Sequence

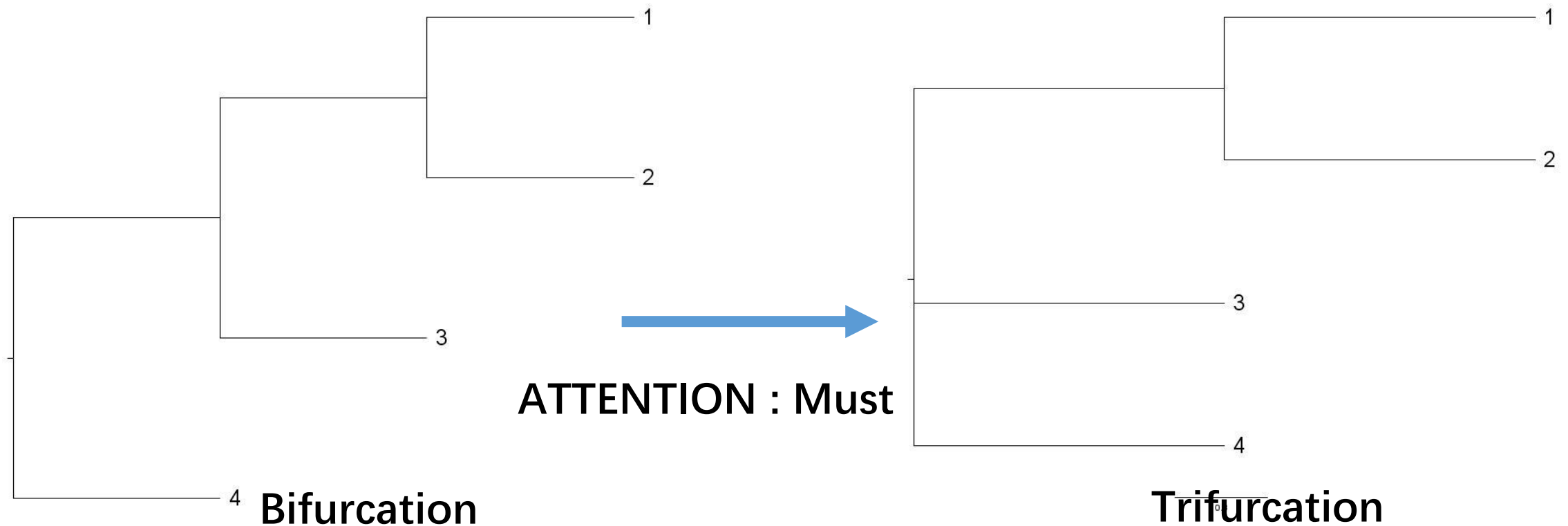
Attention : No need of stop codon

Nucleotide text file content

```
lysosomeSmall.nuc x
1 5 15
2
3 Hsa_Human Species name
4 AAG CCT CCT CCT CCT Sequence
5
6 Hla_gibbon
7 AAG CAT CAG CCG CAG
8
9 Mouse
10 AAG CAT CCT CCT CCT
11
12 Chimpanzee
13 AAG CCT CCG CCT CCT
14
15 Outgroup
16 AAG CAT CAG CAG CCT
17
```

Input files -> Tree files

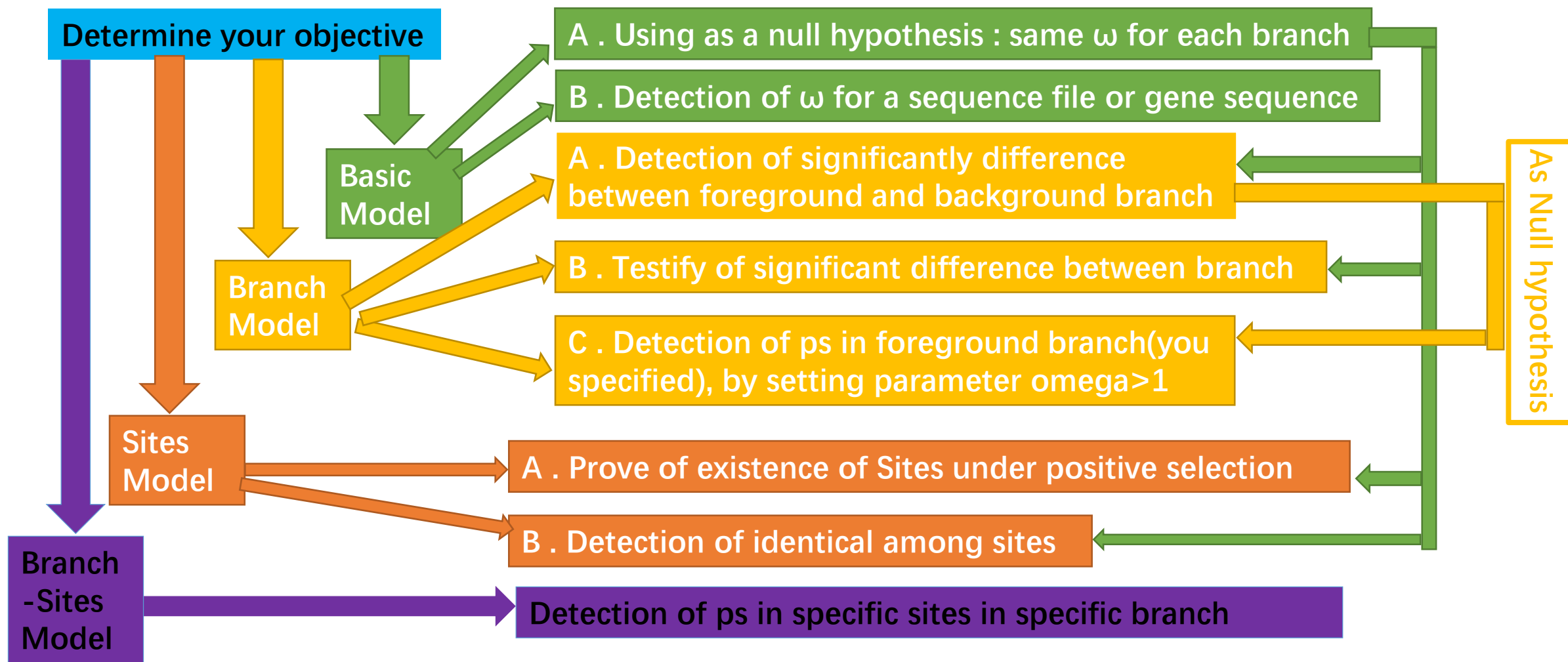
- Un rooted tree is NEEDED
- $((1,2),3),4) \text{-----} > ((1,2),3,4)$



Input files -> Configuration file(.ctl)

- seqfile = lysozymeSmall.nuc * sequence data file name
- treefile = lysozymeSmall.trees * tree structure file name
- outfile = result.txt * main result file name
- seqtype = 1
- CodonFreq = 2 * 0:1/61 each, 1:F1X4, 2:F3X4, 3:codon table
- **model** = 2, choose your model for branch.
- **NSsites** = 0, choose your model for sites/codon.
- fix_omega=0/1, 0 meaning ω value estimate in program, 1 meaning use the value you assign to parameter "omega".
- omega=you setting
- Other parameter suggest using default.

Functionality and Determine your objective



Note : ps meaning Positive selection

Running a Codeml

- Command Line
- Graphics User Interface
- Multiple or Batch running
- Simplify way:

Four files in same folder:

codeml.exe

codeml.ctl

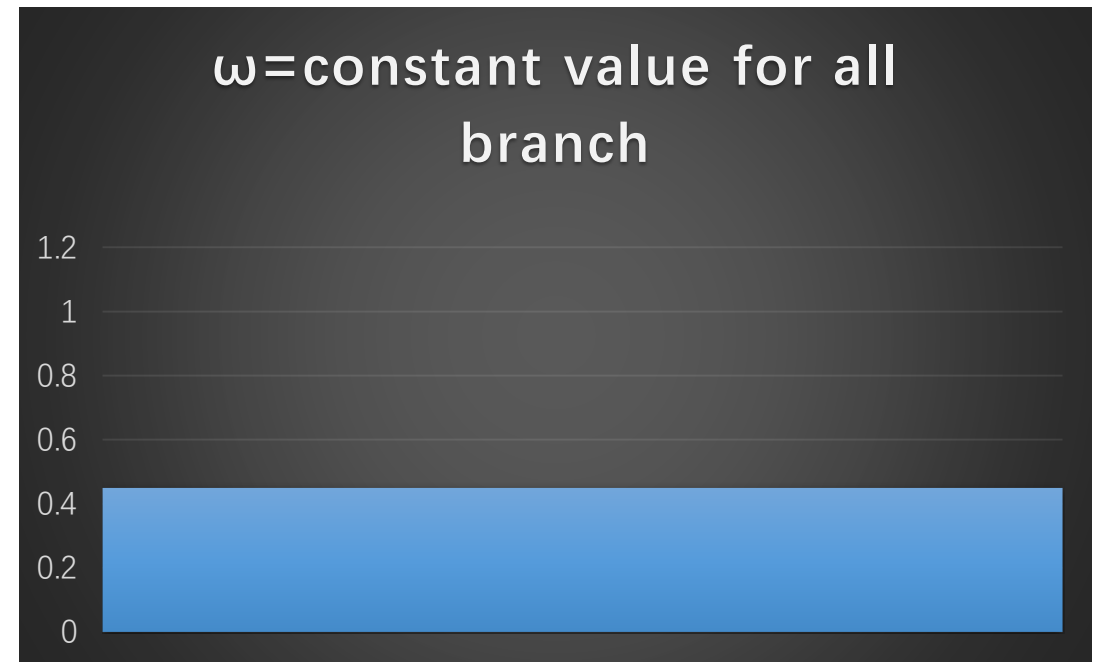
xx.nuc or xx.txt

xx.trees

Introduction to Model and realistic usage

Basic Model

- **Assumption** : One $\omega = dN/dS$ ratio (omega) for each branches, usually as a null hypothesis for Likelihood ratio tests.
- **Setting** : Model=0 Nsites=0
- **Advice** : As a Null hypothesis; and omega is almost always smaller than 1 when you want use Basic as a detection of positive selection for sequence or gene.



Branch Model Type

Branch Model -> Introduction

- **Assumption** : The value ω is same value or vary between different branches.
- **Three Model for Branch model**
 - A. Model=0 : Basic Model
Setting : model=0 NSsites=0
 - B. Model=1 : All of branches have their unique ω value
Setting : model=1 NSsites=0
 - C. Model=2 : By label sign in your tree file that you have some clade have their own omega value different with the rest branch
Setting : model=2 NSsites=0

Branch Model -> How to label in your tree file

- **Label sign** : # for clade, \$ for branch

- **No labeled** :

- ((Hsa_Human ,Hla_gibbon),(Mouse,Chimpanzee),Outgroup);

- **Labeled** :

- ((Hsa Human ,Hla gibbon)#1,Mouse,Chimpanzee),Outgroup);

ω_1

ω_0

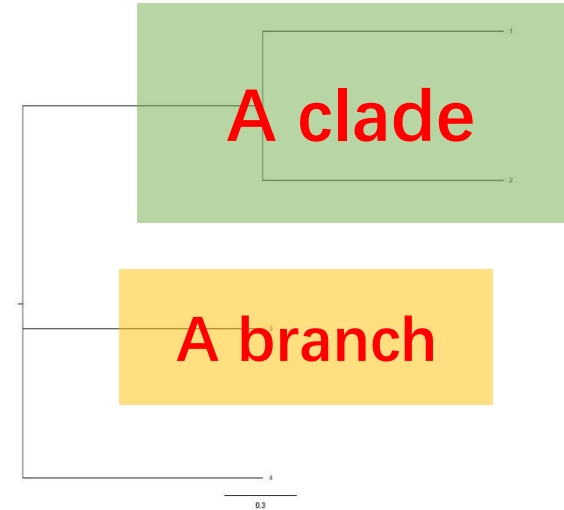
- ((Hsa Human ,Hla gibbon)#1,Mouse,Chimpanzee)#2,Outgroup);

ω_1

ω_2

ω_0

- **Precedence** : \$>#, sign close to root < sign far from root

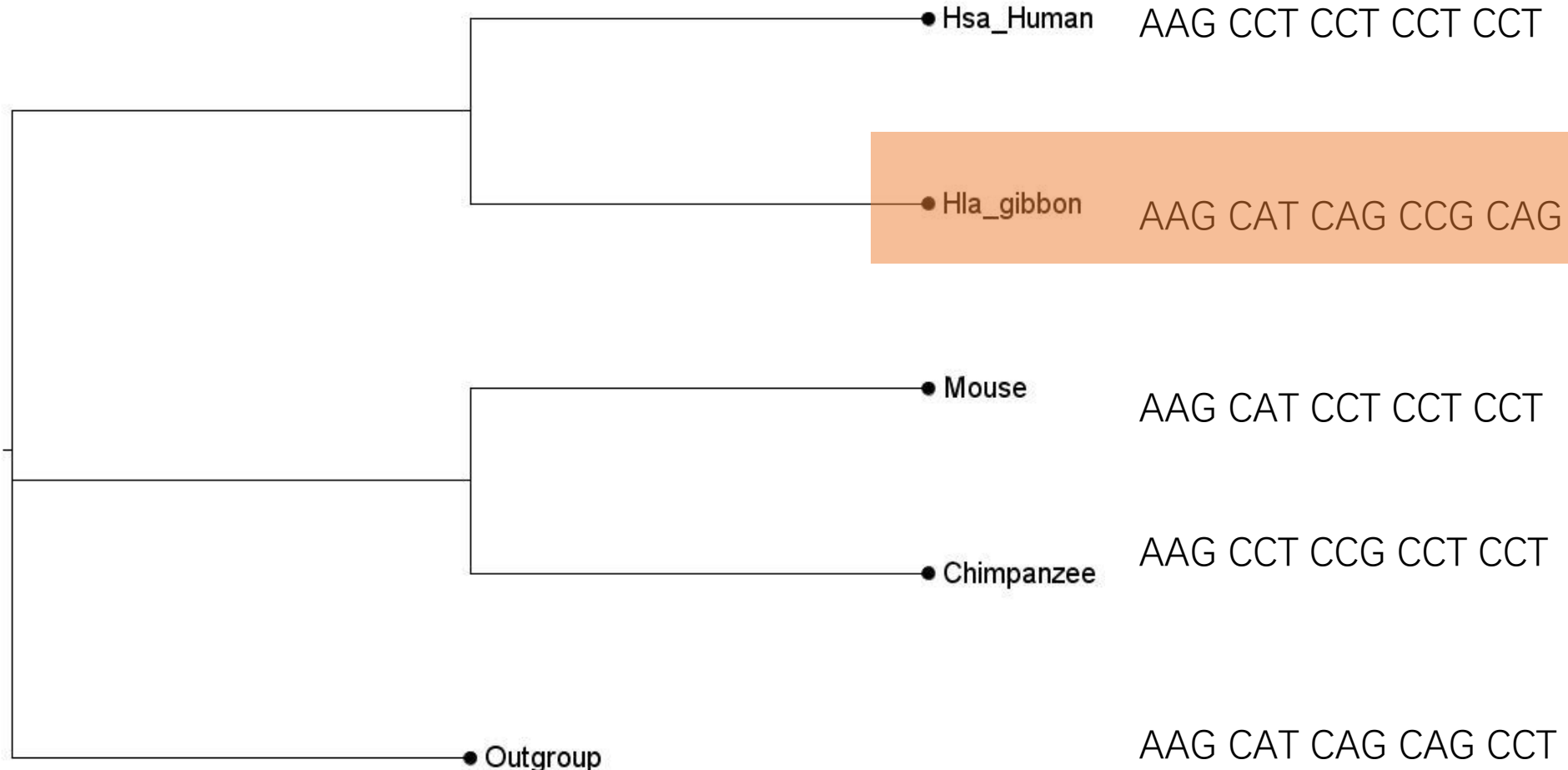


Background branch

Branch Model -> Three Applications

- Application 1- Testify of significant difference between branch
 - Null hypothesis : Basic Model Alternative hypothesis: model=0, Nssites=0
- Application 2- Detection of significantly difference between foreground and background branch
 - Null hypothesis : Basic Model Alternative hypothesis : model=2, Nssites=0
 - Note : # or \$ label is needed.
- **Application 3- Detection of positive selection in foreground branch(you specified)**
 - Null hypothesis : model=2 Nssites=0 Alternative hypothesis : model=2, Nssites=0
fix_omega=1 setting omega > 1
 - Note : The omega set >1 meaning set the **last ω** >1, which is meaning only last class of branch is under positive selection for this model comparison.

Branch Model -> Function of Application 3



Find out a branch is under positive selection, but only can be foreground branch

Branch Model -> Analysis for three Applications

- Test → Get Ln(likelihood) from running-out result

Example: Null hypothesis result: $\ln L(\text{ntime: } 7 \text{ np: } 9): -31.366310 + 0.000000$

Alternative hypothesis result: $\ln L(\text{ntime: } 7 \text{ np: } 10): -31.366306 + 0.000000$

- Likelihood ratio tests(LRTs)

Statistics value: $q=2(\ln L1 - \ln L2) \rightarrow$ fit to Chi-Square Distribution \rightarrow Get p value

Method: R package \rightarrow function : `pchisq(statistic value, df, lower.tail=FALSE)` , $df=np1 - np0 \rightarrow$

p-value= 0.9984042

Meaning : In a situation ,rejecting Null hypothesis is wrong with possibility of $p=0.9984042$

Sites Model Type

Sites Model->Introduction

- **Assumption** : Allowing the DN/DS ratio to vary among sites (among codons or amino acids in the protein)
- **Setting**: Model=0 NSsites=number(0..13,22)
Tips: NSsites = 0 1 2 3 4 meaning you can run several model in one running.
- **Function** : Fitting the Evolution Rate of Different sequence position with Different Models then to prove the positive selection in sites.

Sites Model -> Important model and model information

- **Nsites=0, one ω** : one-ratio model, all of position have identical ω ratio
- **Nsites=1, neutral** : $\omega \leq 1$
- **Nsites=2, selection** : some position : $\omega > 1$ $\omega \leq 1$
- **Nsites=3, discrete** : Discrete Distribution, three ω : $\omega_0, \omega_1, \omega_2$
- **Nsites=7, beta** : Distribution of ω along position is beta distribution
- **Nsites=8, beta& ω** : based on model 7, but some position $\omega > 1$

Sites Model -> Important model information

Table 2. Parameters in the site models

Model	NSsites	#p	Parameters	Note
M0 (one ratio)	0	1	ω	(Goldman and Yang 1994; Yang and Nielsen 1998)
M1a (neutral)	1	2	p_0 ($p_1 = 1 - p_0$), $\omega_0 < 1, \omega_1 = 1$	(Nielsen and Yang 1998; Yang et al. 2005)
M2a (selection)	2	4	p_0, p_1 ($p_2 = 1 - p_0 - p_1$), $\omega_0 < 1, \omega_1 = 1, \omega_2 > 1$	(Nielsen and Yang 1998; Yang et al. 2005)
M2a_ref	22	4	p_0, p_1 ($p_2 = 1 - p_0 - p_1$), $\omega_0 < 1, \omega_1 = 1, \omega_2 > 0$	$\omega_2 > 0$, for use as null for testing the clade model (Weadick and Chang 2012)
M3 (discrete)	3	5	p_0, p_1 ($p_2 = 1 - p_0 - p_1$) $\omega_0, \omega_1, \omega_2$	(Yang et al. 2000b)
M7 (beta)	7	2	p, q	(Yang et al. 2000b)
M8 (beta& ω)	8	4	p_0 ($p_1 = 1 - p_0$), $p, q, \omega_3 > 1$	(Yang et al. 2000b)

Free parameter or degree of freedom

NOTE.— #p is the number of free parameters in the ω distribution. Parameters in parentheses are not free and should not be counted: for example, in M1a, p_1 is not a free parameter as $p_1 = 1 - p_0$. In both likelihood ratio tests comparing M1a against M2a and M7 against M8, $df = 2$. The site models are specified using NSsites.

(Yang PAML software description file)

Sites Model -> Detection of identical of sites

- **Null hypothesis** : All branch or sites ω are identical

Model=0 and Nsites = 0

- **Alternative hypothesis** : Distribution of ω along sites are Discrete Distribution

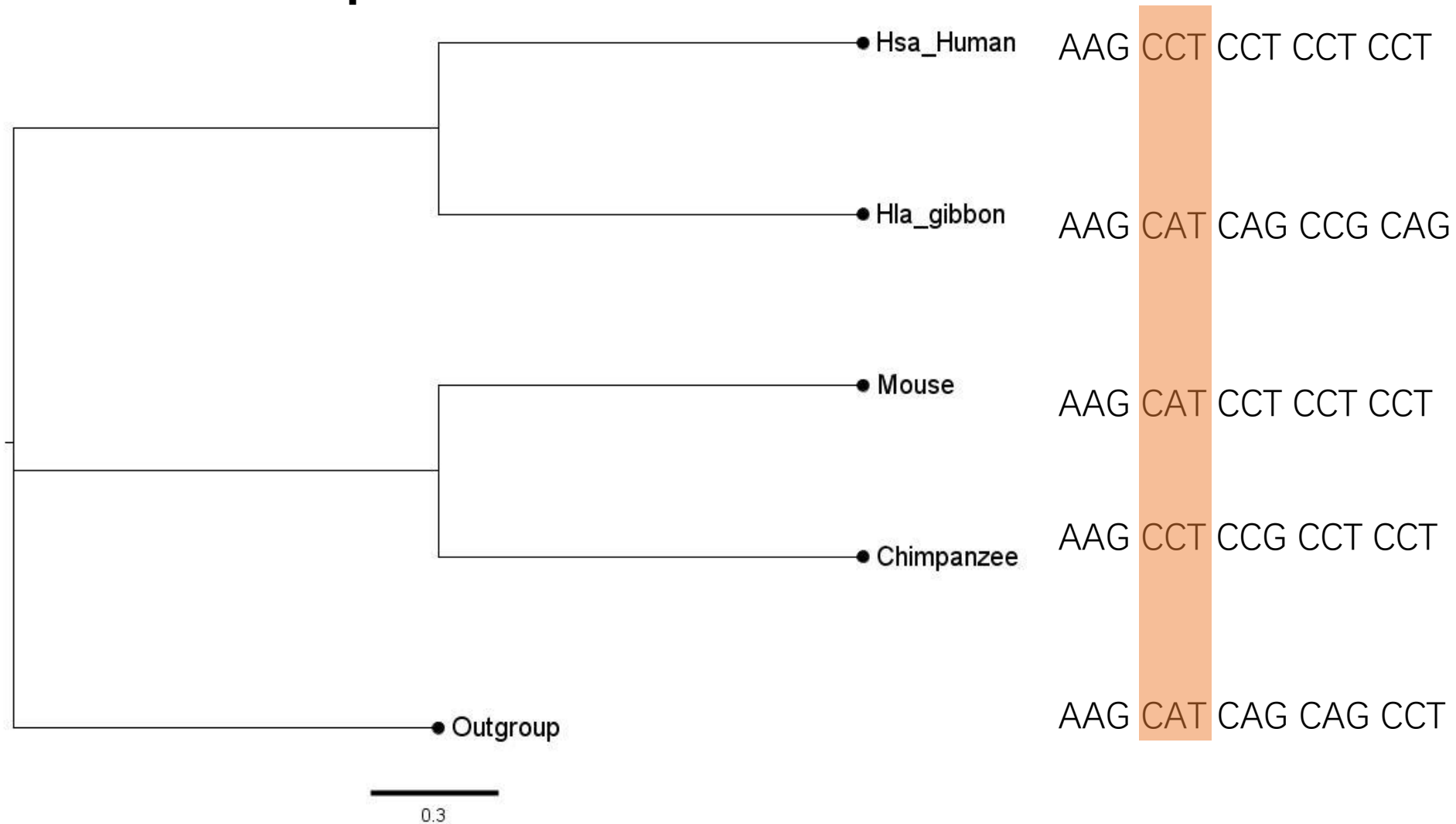
Model=0 Nsites = 3

- **Likelihood Ratio Tests(LRTs)** :

Ln(likelihood value) get from result file

Like LRTS in comparison between Basic Model and Branch Model above.

Sites Model -> Prove of existence of Sites under positive selection



Tips : But we can not find out which sites are under positive selection, only can prove they exist.

Sites Model \rightarrow Prove of existence of Sites under positive selection

- **Suggest by Yang: two model comparison use for detection.**

A. Null hypothesis : Model=0 NSsites=1

Alternative hypothesis : Model=0 NSsites=2

B. Null hypothesis : Model=0 NSsites=7

Alternative hypothesis : Model=0 NSsites=8

- **Likelihood Ratio Tests(LRTs)** : Same with above procedure.

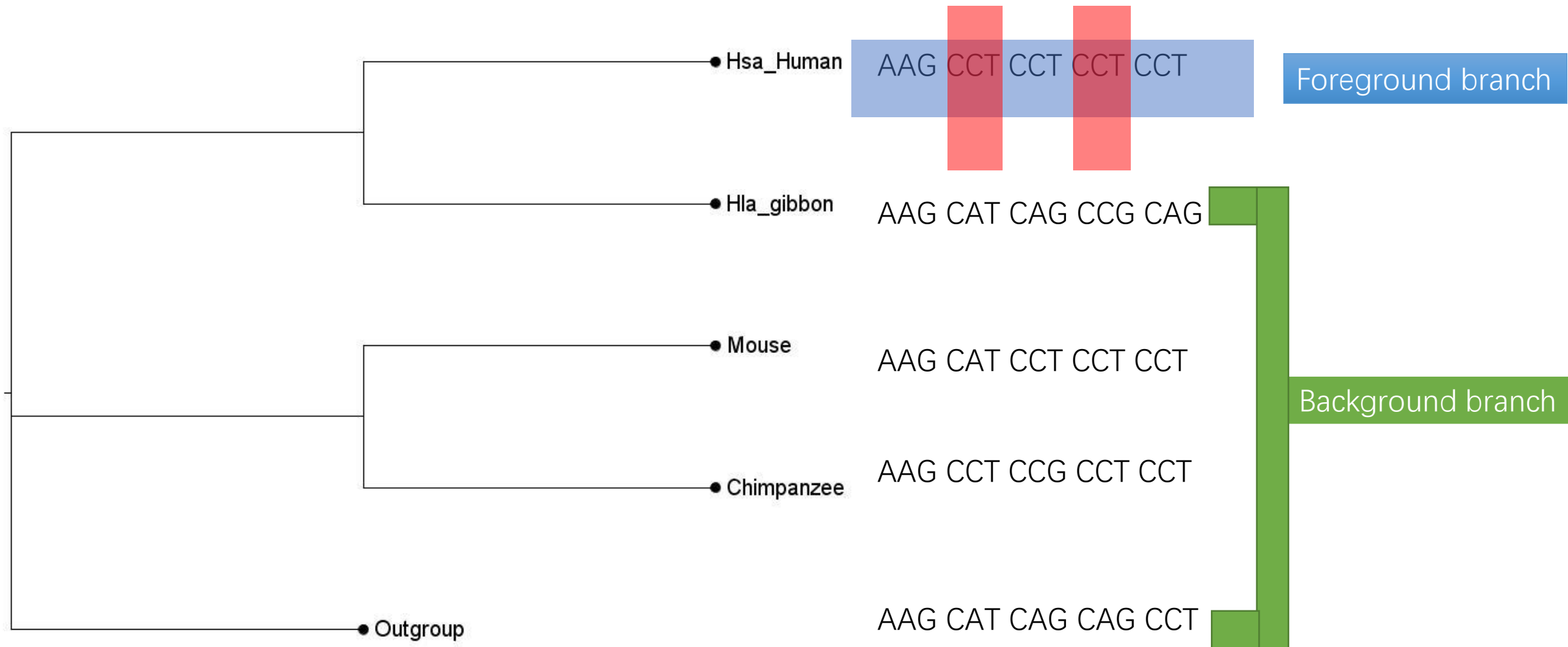
Tips : Suggesting by Yang, The M1-M2 comparison appears to be more robust (or less powerful) than the M7- M8 comparison.

Branch-Sites Model Type

Branch-Sites Model -> Introduction

- **Assumption** : Allowing ω to vary among sites in protein and across branches on the tree.
- **Function** : Aiming to detect positive selection affecting a few sites only exist in **particular lineages/foreground branches**.
- **Key point** : Branch-Sites Model is combination of branch model and sites model, branch label is needed.

Branch-Sites Model -> Demonstration



Overlapped area:
Sites/Codon under positive selection only in foreground branch

Branch-Sites Model -> Model description

- Alternative hypothesis : Model A
 - Setting : Model=2 NSsites=2 fix_omega=0
- Null hypothesis Model:
 - Setting: Model=2 NSsites=2 fix_omega=1 omega=1
- Detail of Model A

By setting omega=1 in Null hypothesis model.
In fact assign $\omega_2=1$ compare with Model A
 $\omega_2 \geq 1$.

Table 3. Parameters in branch-site model A (np = 4)

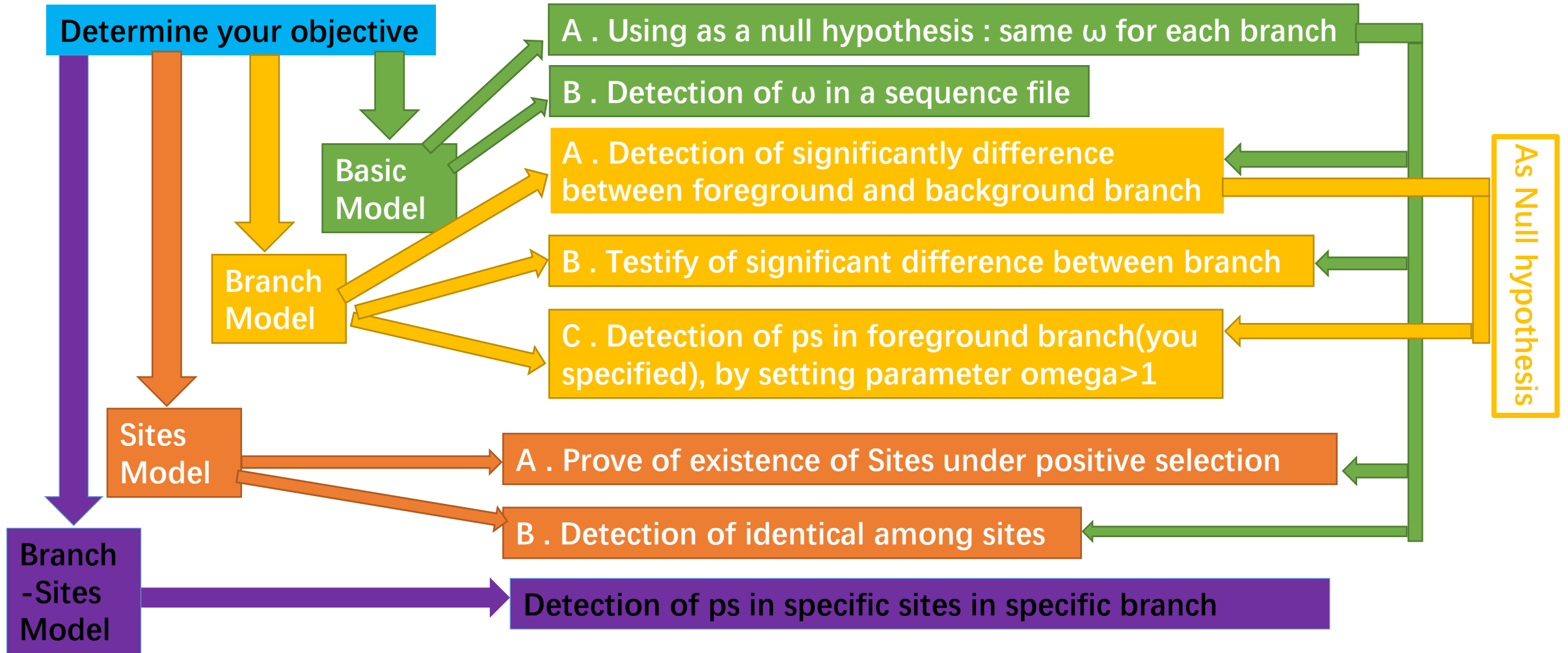
Site class	Proportion	Background	Foreground
0	p_0	$0 < \omega_0 < 1$	$0 < \omega_0 < 1$
1	p_1	$\omega_1 = 1$	$\omega_1 = 1$
2a	$(1 - p_0 - p_1) p_0 / (p_0 + p_1)$	$0 < \omega_0 < 1$	$\omega_2 \geq 1$
2b	$(1 - p_0 - p_1) p_1 / (p_0 + p_1)$	$\omega_1 = 1$	$\omega_2 \geq 1$

(Yang PAML software description file)

Branch-Sites Model -> Detection

- **Application** : Detection for positive selection sites in specific lineage.
- **Null Hypothesis** :
 - Setting: Model=2 NSsites=2 fix_omega=1 omega=1
- **Alternative hypothesis** : use Model A
 - Setting : Model=2 NSsites=2 fix_omega=0
- Modified Likelihood Ratio Tests(Modified LRTs):
 - Assuming you calculate out **p-value**=0.22, the **real-p-value**=p-value/2=0.11, use **real-p-value** as test.

Functionality and Determine your objective



Note : ps meaning Positive selection

Summary

- Functionality of codeml is to prove existence of positive selection.
- Know your purpose, choose suitable comparison of model
- Common hypothesis
 - Null hypothesis : Making sure no $\omega > 1$
 - Alternative hypothesis : Keeping a portion of omega distribution are larger than 1.
- Pay attention to other parameter when needed (such as “clock”)
- More details and comprehensive understanding need pay your attention to description file.

Thanks for your attention